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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

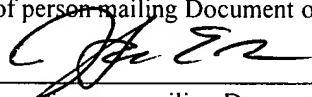
In re patent application of:	)	
	)	Examiner: To be assigned
Inventor(s): Eckert et al.	)	
	)	Group Art Unit: 2164
Application No.: 09/933,263	)	
	)	
Filed: August 20, 2001	)	Our Docket No.: 1713-0014
	)	
For: A Method of Managing Risk in a	)	
Security Based on the Income of	)	
a Performer	)	

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 17, 2003  
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Harold C. Moore

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October 17, 2003

Date of Signature

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.56, Applicants hereby disclose the following reference, a copy of which is enclosed, regarding the above-identified patent application.

Non-Patent References

1. DeBellis Matthew A., David Bloom, Julie Landry, Richard Byrne Reilly, and Steve Silverman, "Elevator Pitch," page 4 of 5 in "Mayfield gets sick of health care," Red Herring the Business of Technology, February 9, 2001, Available online at <http://www.redherring.com/Article.aspx?f=articles%2farchive%2fvc%2f2001%2f0209%2f...>, (5 pages).

Respectfully Submitted,



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FORM PTO-1449  
INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.: 1713-0014

APPLICATION NO.: 09/933,263

APPLICANT(S): Eckert et al.

FILING DATE: August 20, 2001

GROUP ART UNIT: 2164

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AQ	<u>1</u>	DeBellis, Matthew A., David Bloom, Julie Landry, Richard Byrne Reilly, and Steve Silverman, "Elevator Pitch," page 4 of 5 in "Mayfield gets sick of health care," Red Herring the Business of Technology, February 9, 2001, Available online at <a href="http://www.redherring.com/Article.aspx?f=articles%2farchive%2fvc%2f2001%2f0209%2f...">http://www.redherring.com/Article.aspx?f=articles%2farchive%2fvc%2f2001%2f0209%2f...</a> , (5
	AR	<u>1</u>	
	AS	<u>1</u>	

EXAMINER	DATE CONSIDERED
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicants.